

THIS IS THE <u>BEGINNING</u> OF ADMINISTRATIVE FINE CASE # <u>2247</u>

DATE SCANNED 7-5-11

SCANNER NO. 2

SCAN OPERATOR JMG



December 10, 2010

MEMORANDUM

TO:

THE COMMISSION

THROUGH:

ALEC PALMER

ACTING STAFF DIRECTOR

FROM:

PATRICIA CARMONA Defor PC

CHIEF COMPLIANCE OFFICER

DEBBIE CHACONA-

ASSISTANT STAFF DIRECTOR REPORTS ANALYSIS DIVISION

MIC

BY:

NATALIYA IOFFE/SARI PICKERALL/IAN WANDNER

COMPLIANCE BRANCH

SUBJECT:

REASON TO BELIEVE RECOMMENDATION – 2010 OCTOBER

QUARTERLY REPORT (NON-ELECTION SENSITIVE) FOR THE

ADMINISTRATIVE FINE PROGRAM

Attached is a list of political committees and their treasurers who failed to file the 2010 October Quarterly Report in accordance with 2 U.S.C. 434(a). The October Quarterly Report was due on October 15, 2010.

The committees listed in the attached RTB Circulation Report either failed to file the report, filed the report more than five (5) days after the due date, or filed the report more than thirty (30) days after the due date (considered a non-filed report). In accordance with the schedule of civil money penalties for reports at 11 CFR 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

Recommendation

- 1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
- 2. Send the appropriate letters.

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Federal Election Countries also Reason to Believe Circulation Report
Tender (いままず Countries 10/15/2010 H_S_P_UNAUTH

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RTB Penalty	\$1,402	066\$	\$2,970	\$2,970	_	\$11,000		\$3,850	\$7,150	\$1,535	\$9,900	\$907	\$2,970				_	066\$
LOA	\$51,704	\$44,000	\$51,165 (est)	\$62,597 (est)		\$254,324 (est)		\$88,366 (est)	\$249,429 (est)	\$112,696	\$402,456 (est)	\$60,174	\$73,884 (est)			•	•	\$25,582 (est)
Days Late	5	Not Filed	Not Filed	Not Filed	<u>.</u>	Not Filed		Not Filed	Not Filed	2	Not Filed	2	Not Filed		•			Not Filed
Receipt Date	10/28/2010	12/7/2010								10/22/2010		10/22/2010						
2	0	0	0	0		-		•	•	0	0	. 0	. 0					0
Threshold	\$375,326	\$181,423	\$102,330	\$187,791		\$762,971		\$530,198	\$1,247,146	\$385,138	\$1,207,368	\$286,236	\$295,537		•	•		\$127,909
Treasurer	DAVID EVERETT MARKO	GLORIA CARLINEO	BRUCE BLOCH	CLARK VANDEVENTER		DIERDRE K SCOZZAFAVA		JOHN B BARRETT	LARRY NELSON	ROSS PARKER BIELING	FRANK J DEMILO CPA	MARY LOU STUART	MARC MILLSAP	-	-		ت م	ROBERT PAUL LOWRY
Candidate Name	WILLIAMS, ANDRE LEWIS	CARLINEO, GLORIA	FLUME, CHARLES WILLIAM SR.	VANDEVENTER, CLARK		SCOZZAFAVA, DIERDRE K		KELSEY, RICHARD FRANKLIN	HASTERT, ETHAN ALLEN	BIELING, ROSS PARKER	HERRMANN, THOMAS A	FLEITMAN, JAY SCOTT	HOLT, JIM				•	LOWRY, ROBERT PAUL
Committee Name	ANDRE WILLIAMS FOR CONGRESS	CARLINEO FOR CONGRESS	CHUCK FLUME FOR CONGRESS	CLARK VANDEVENTER FOR CONGRESS 2010		DEDE FOR CONGRESS INC		DICK KELSEY FOR CONGRESS	ETHAN HASTERT FOR	FRIENDS OF ROSS BIELING	HERRMANN FOR CONGRESS	JAY FLEITMAN FOR CONGRESS COMMITTEE	JIM HOLT CAMPAIGN COMMITTEE					LOWRY FOR CONGRESS
Committee	C00458745	C00474049	2215 C00480434	C00477869		C00463976		2220 C00460220	C00463265	C00481184	200679005	C00461970	C00473819					2231 C00463273
AF#	2212	2213	215	2216		2218		220	2222	2223	2224	2225	2226				•	31

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RTB Penalty	\$1,237		066\$	\$115	\$2,227	\$250	086\$		066\$	066\$	\$990	\$3,850	\$6,187	\$340	\$550		\$2,970	\$150
YOT .	\$57,453		\$34,594 (est)	\$3,344	\$48,012 (est)	\$34,665	\$33,765 (est)		\$33,729 (est)	\$27,498 (est)	\$35,241 (est)	\$89,070 (est)	\$104,415 (est)	\$42,982	\$19,501		\$67,682 (est)	\$16,581
Days Late	11		Not Filed	18	Not Filed	Not Filed	Not Filed	•	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	7	Not Filed		Not Filed	80
Receipt Date	10/26/2010			11/2/2010		11/17/2010		•						10/22/2010	11/22/2010			10/23/2010
2	0		0	0	S	0	0	•	0	0	0	0	7-	0	0		0	0
Threshold	\$184,913		\$103,782	\$100,517	\$288,073	\$152,791	\$101,296	•	\$101,188	\$137,491	\$176,204	\$356,280	\$417,659	\$8,068,867	\$1,107,167		\$135,364	\$131,829
Théasurer	BERNARD A BECKER		KATHLEEN CARRELL FRENCH	BURKE, JENNIFER	MIKE GRAVEL	HON RD SNYDEN	STUART WAYNE MCMAHEN	•	TERRANCE	ITHIEL HARLEY THOMAS IV	JUSTIN MOORE	CORY DICKSON	TIMOTHY J DAVIS	BOB BEERS	CHARLES R PARTLOW		HARRY SKILTON	WILLIE "WILL" BOYD JR
Reck Candidate Namerk	MEURICE, LUIS		FRENCH, MARK TODD	BURKE, MATTHEW	GRAVEL, MIKE	MORGAN, VINCENT SCOTT	MULLEN, WESLEY MARTIN		LAKE, RICHARD DAVID GEORGE	TAYLOR, SCOTT W	GOLDSTEIN, SHELDON	SIAS, LANGHORNE C	SINGH, NAVRAJ	LOWDEN, SUE	WOOLRIDGE, TYMOTHE (TIM) LYNN		WADE, KEVIN LYNN	BOYD, WILLIE WILL
Constitution Name - PV	CONGRESS COMMITTEE		MARK FRENCH FOR CONGRESS	MATTHEW BURKE FOR CONGRESS	MIKE GRAVEL FOR PRESIDENT 2008	ESS	MULLEN FOR CONGRESS	•	RICHARD LAKE FOR CONGRESS	SCOTT TAYLOR FOR CONGRESS	SHELDON GOLDSTEIN FOR CONGRESS	SIAS FOR CONGRESS	SINGH FOR CONGRESS	SUE LOWDEN FOR US SENATE	TIM WOOLDRIDGE FOR CONGRESS		WADE FOR DELAWARE	WILL BOYD FOR US SENATE
MF#: Committee	C00483149	•	C00479907	C00475095	C00423202	C00463406	2238 C00480715	٠	C00473595	2243 C00468264	C00473512	C00471516	C00467712	C00467761	C00476432	-	C00477844	2253 C00471433
AF#	2232	•	2234	2235	2236	2237	2238	•	2242	2243	2246	2247	2248	2249	2250		2252	2253

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)	
Reason To Believe Recommendation - 2010	Ś	
October Quarterly Report (Non-Election	í	
Sensitive) for the Administrative Fine	í	
Program:	í	
MATTHEW BURKE FOR CONGRESS,	Ś	AF# 2235
and BURKE, JENNIFER as treasurer;	ί.	11111 2255
ANDRE WILLIAMS FOR CONGRESS,	1	AF# 2212
and DAVID EVERETT MARKO as	Ś	1411 2212
treasurer;	í	
LUIS MEURICE FOR CONGRESS	í	AF# 2232
COMMITTEE, and BERNARD A	í	14 2252
BECKER as treasurer;	í	
WILL BOYD FOR US SENATE, and	Ś	AF# 2253
WILLIE "WILL" BOYD JR as treasurer;	í	"
FRIENDS OF ROSS BIELING, and	í	AF# 2223
BIELING, ROSS PARKER as treasurer;	í	121/ 2220
JAY FLEFIMAN FOR CONGRESS	í	AF# 2225
COMMITTEE, and MARY LOU STUART	í	,
as treasurer;	Ś	
	,	
SUE LOWDEN FOR U S SENATE, and)	AF# 2249
BOB BEERS as treasurer;)	
CARLINEO FOR CONGRESS, and)	AF# 2213
CARLINEO, GLORIA as treasurer;)	
	-	
CULTURE TIME FOR CONCRESS and	`	A 1744 010 1 6
CHUCK FLUME FOR CONGRESS, and	,	AF# 2215
BRUCE BLOCH as treasurer;)	A E# 001 C
CLARK VANDEVENTER FOR	ļ	AF# 2216
CONGRESS 2010, and CLARK	,	
VANDEVENTER as treasurer;	,	
DEDE FOR CONGRESS INC, and)	AF# 2218
SCOZZAFAVA, DIEKDRE K as treasurer;)	

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DICK KELSEY FOR CONGRESS, and JOHN B BARRETT as treasurer;) AF# 2220)
ETHAN HASTERT FOR CONGRESS COMMITTEE, and NELSON, LARRY as treasurer;) AF# 2222)
HERRMANN FOR CONGRESS, and FRANK J DEMILO CPA as treasurer;) AF# 2224)
JIM HOLT CAMPAIGN COMMITTEE, and MARC MILLSAP as treasurer;) AF# 2226)
LOWRY FOR CONGRESS, and ROBERT PAUL LOWRY as treasurer;) AF# 2231
MARK FRENCH FOR CONGRESS, and KATHLEEN CARRELL FRENCH as treasurer;) AF# 2234)
MIKE GRAVEL FOR PRESIDENT 2008, and MIKE GRAVEL as treasurer;) AF# 2236
MORGAN FOR CONGRESS, and SNYDEN, RD HON. as treasurer;) AF# 2 237)
MULLEN FOR CONGRESS, and STUART WAYNE MCMAHEN as treasurer;) AF# 2238)
RICHARD LAKE FOR CONGRESS, and BRADLEY, TERRANCE as treasurer;) AF# 2242)
SCOTT TAYLOR FOR CONGRESS, and ITHIEL HARLEY THOMAS IV as treasurer;) AF# 2243))

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SHELDON GOLDSTEIN FOR)	AF# 2246
CONGRESS, and JUSTIN MOORE as)	
trearurer;)	
SIAS FOR CONGRESS, and CORY)	AF# 2247
DICKSON as treasurer;)	
SINGH FOR CONGRESS, and TIMOTHY)	AF# 2248
J DAVIS as treasurer;)	
TIM WOOLDRIDGE FOR CONGRESS,)	AF# 2250
and CHARLES R PARTLOW as treasurer;)	
WADE FOR DELAWARE, and HARRY)	AF# 2252
SKILTON as treasurer	í	

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clark of the Federal Election

Commission, do hereby certify that on December 15, 2010 the Commission took the
following actions on the Reason To Believe Recommendation - 2010 October

Quarterly Report (Non-Election Sensitive) for the Administrative Fine Program as
recommended in the Reports Analysis Division's Memorandum dated December 13,
2010, on the following committees:

AF#2235 Decided by a vote of 6-0 to: (1) find reason to believe that MATTHEW BURKE FOR CONGRESS, and BURKE, JENNIFER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2212 Decided by a vote of 6-0 to: (1) find reason to believe that ANDRE WILLIAMS FOR CONGRESS, and DAVID EVERETT MARKO as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners

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Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2232 Decided by a vote of 6-0 to: (1) find reason to believe that LUIS MEURICE FOR CONGRESS COMMITTEE, and BERNARD A BECKER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2253 Decided by a vote of 6-0 to: (1) find reason to believe that WILL BOYD FOR US SENATE, and WILLIE "WILL" BOYD JR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the oivil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Baserly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2223 Decided by a vote of 6-0 to: (1) find reason to believe that FRIENDS OF ROSS BIELING, and BIELING, ROSS PARKER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGalm II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2225 Decided by a vote of 6-0 to: (1) find reason to believe that JAY FLEITMAN FOR CONGRESS COMMITTEE, and MARY LOU STUART as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2249 Decided by a vote of 6-0 to: (1) find reason to believe that SUE LOWDEN FOR U S SENATE, and BOB BEERS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2213 Decided by a vote of 6-0 to: (1) find reason to believe that CARLINEO FOR CONGRESS, and CARLINEO, GLORIA as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount

indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2215 Decided by a vote of 6-0 to: (1) find reason to believe that CHUCK FLUME FOR CONGRESS, and BRUCE BLOCH as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2216 Decided by a vote of 6-0 to: (1) find reason to believe that CLARK VANDEVENTER FOR CONGRESS 2010, and CLARK VANDEVENTER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2218 Decided by a vote of 6-0 to: (1) find reason to believe that DEDE FOR CONGRESS INC, and SCOZZAFAVA, DIERDRE K as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2220 Decided by a vote of 6-0 to: (1) find reason to believe that DICK KELSEY FOR CONGRESS, and JOHN B BARRETT as treasurer violated 2 U.S.C.

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434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bunerly, Hunter, McGahn II, Petersen, Walther, and Weintmub voted affirmatively for the decision.

AF#2222 Decided by a vote of 6-0 to: (1) find reason to believe that ETHAN HASTERT FOR CONGRESS COMMITTEE, and NELSON, LARRY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2224 Decided by a vote of 6-0 to: (1) find reason to believe that HERRMANN FOR CONGRESS, and FRANK J DEMILO CPA as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate better. Commissioners Benorly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2226 Decided by a vote of 6-0 to: (1) find reason to believe that JIM HOLT CAMPAIGN COMMITTEE, and MARC MILLSAP as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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AF#2231 Decided by a vote of 6-0 to: (1) find reason to believe that LOWRY FOR CONGRESS, and ROBERT PAUL LOWRY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2234 Decided by a vote of 6-0 to: (1) find reason to believe that MARK FRENCH FOR CONGRESS, and KATHLEEN CARRELL FRENCH as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Huhter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2236 Decided by a vote of 6-0 to: (1) find reason to believe that MIKE GRAVEL FOR PRESIDENT 2008, and MIKE GRAVEL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2237 Decided by a vote of 6-0 to: (1) find reason to believe that MORGAN FOR CONGRESS, and SNYDEN, RD HON. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2238 Decided by a vote of 6-0 to: (1) find reason to believe that MULLEN FOR CONGRESS, and STUART WAYNE MCMAHEN as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2242 Decided by a vote of 6-0 to: (1) find reason to believe that RICHARD LAKE FOR CONGRESS, and BRADLEY, TERRANCE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2243 Decided by a vote of 6-0 to: (1) find reason to believe that SCOTT TAYLOR FOR CONGRESS, and ITHIEL HARLEY THOMAS IV as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2246 Decided by a vote of 6-0 to: (1) find reason to believe that SHELDON GOLDSTEIN FOR CONGRESS, and JUSTIN MOORE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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December 17, 2010

AF#2247 Decided by a vote of 6-0 to: (1) find reason to believe that SIAS FOR CONGRESS, and CORY DICKSON as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Banerly, Hunter, MoGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2248 Decided by a vote of 6-0 to: (1) find reason to believe that SINGH FOR CONGRESS, and TIMOTHY J DAVIS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2250 Decided by a vote of 6-0 to: (1) find reason to believe that TIM WOOLDRIDGE FOR CONGRESS, and CHARLES R PARTLOW as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2252 Decided by a vote of 6-0 to: (1) find reason to believe that WADE FOR DELAWARE, and HARRY SKILTON as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

Shawn Woodhead Werth

Secretary and Clerk of the Commission



December 21, 2010

Cory Dickson, in official capacity as Treasurer Sias for Congress 15400 West 64th Avenue, Suite 9E, Box 133 Arvada, CO 80007

C00471516 AF#: 2247

Dear Mr. Dickson:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file an October Quarterly Report of Receipts and Disbursements every calendar year. This report, covering the period through September 30th, shall be filed no later than October 15th. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was not filed within thirty (30) days of the due date. You should file this report if you have not already done so.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On December 15, 2010, the FEC found that there is reason to believe ("RTB") that Sias for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before October 15th.

Based on the FEC's schedules of civil money penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$3,850. Please see the attached copy of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-111.46. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See http://www.feo.gov/af/af.shtml. 11 C.F.R. § 111.34. Your payment of \$3,850 is due within forty (40) days of the finding, or by January 24, 2011, and is based on these factors:

Sensitivity of Report: Not Election Sensitive

Level of Activity: \$89,070 Number of Days Late: Not Filed

Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street,

NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or January 24, 2011. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of afficiavits or declarations. 11 C.F.R. § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. Id. Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a calluro of Colomission computers er Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 C.F.R. § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penulty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FRC will assume that the preceding factual allegations are true and make a final determination that Sias for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 C.F.R. § 111.51(a)(2).

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at http://www.fec.gov/af/af.shtml. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at nur toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

Vice Chair

On behalf of the Commission,

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at RTB is \$3,850 for the 2010 October Quarterly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission P.O. Box 979058 St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC #979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The remittance and your payment are due by January 24, 2011. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Sias for Congress

FEC ID#: C00471516

AF#: 2247

PAYMENT DUE DATE: January 24, 2011

PAYMENT AMOUNT DUE: \$3,850

FEC OFFICE OF ADMIN REVIEW

CLARK HILL

2011 JAN 25 P 12: 47

Charles R. Spies T 202,572,8663 F 202,572,8583 Email: cspies@clarkhill.com Clark Hill PLC 1250 Eye Street NW Washington, DC 20005 T 202.772.0909 F 202.772.0919

clarkhill.com

January 24, 2011

Office of Administrative Review Federal Election Commission 999 E Street, NW Washington, DC 20463

VIA FACSIMILE: (202) 219-0174

Re: Sias for Congress (C00471516) - Administrative Fine No. 2247

Dear Sir or Madam,

0926547

We respectfully file this response to the Federal Election Commission's (the "Commission") letter of December 21, 2010, on behalf of Sias for Congress, and Cory Dickson, in his official capacity as Treasurer (collectively, the "Committee"). An executed Designation of Counsel form is enclosed. The December 21 letter addresses the Commission's finding of Reason to Believe ("RTB") that the Committee violated 2 U.S.C. § 434(a) for failing to timely file its October Quarterly Report. This response constitutes the Committee's formal challenge to the Commission's administrative fine calculation stemming from the RTB finding.

Although the Committee concedes it did not timely file its October Quarterly Report, we dispute the civil penalty amount of \$3,850 set forth in the Commission's letter. The Commission's regulations permit a committee to challenge a civil money penalty based on its belief that "the Commission improperly calculated the civil money penalty." 11 CFR § 111.35(b)(2). Accordingly, the Committee challenges the civil penalty amount assessed against it on the grounds of improper calculation.

According to the Commission's letter, its civil penalty calculation was based on an assumption of a level of activity of \$89,070. It is unclear what figures the Commission used in arriving at this determination. For non-filers of non-election sensitive reports, which the Committee would be in this case, the level of activity is typically estimated using prior reports filed by a committee during the current or prior two-year election cycle. Considering the comparatively low level of activity on the Committee's previous reports, and the fact that Lang Sins lost his August 10 primary election, it seems unrealistic to think an estimated level of activity, for purposes of a penalty calculation, would be so inflated.

January 24, 2011 Page 2

The Committee takes its compliance procedures very seriously and wants to emphasize that at no time did it act in bad faith or with any knowing or willful intention to violate or circumvent the Federal Election Campaign Act or the Commission's regulations. In fact, once the Committee realized the need to file an October Quarterly Report, it acted immediately to remedy the situation. To that end, the Committee recently filed with the Commission a neries of amended and new reports to ensure an accurate and transparent accounting of all of the Committee's financial activity since its establishment in late 2009. This includes a newly filed October Quarterly Report, which indicates a minimal cash on hand and outstanding debts and obligations of over \$45,000 for that reporting period. The Committee intends to file a 2010 Year-End Report by January 31, 2011, which will reflect the same financial numbers.

With that said, we respectfully request that the Commission not only recalculate the civil penalty amount to more accurately reflect the Committee's low level of activity, but also that the Commission take into account the Committee's lack of available funds, its need to ultimately pay down its debts and obligations, and its current inability to pay a civil penalty.

Please feel free to contact me directly regarding this matter.

Respectfully submitted,

Charles R. Spies

Counsel to Sias for Congress

CLARK HILL 6879668.1 35076/137780

CLARKHILL

Charles R. Spies 7 202,922,9663 F 202,572,8683 Email: spies@clarkhil.com Clirk HIII-PC 1250 Eye Street NW Washington, DC 20005 7:202:772.0909 F:202.772.0919

clarkhill-comes

VIA FACSIMILE (202) 219-0174

BEFORE THE FEDERAL ELECTION COMMISSION STATEMENT OF DESIGNATION OF COUNSEL

AF# 2247

Name of Counsel Charles R. Spies

Clark Hill PLG

1250 Eye Street, NW, Suite 900

Washington, DC 20005

Telephone:

(202) 572-8663

Fax:

(202) 572-8683

The above-named individual and/or firm is hereby designated as my counsel and is authorized to receive any notifications and other communications from the Commission and to act on my behalf before the Commission.

1/24/11

Candidate

Data

Respondent/Client Signature

Title

Respondent/Client:

Sias for Congress, and Cory Dickson, Treasurer

15400 West 64th Ave., Suite 9E, Box 133

Arvada, CO 80007

Telephone - Home:

Business: (571) 217 - 4294

Information is being sought as part of an investigation being conducted by the Federal Election Commission and the confidentiality provisions of 2 U.S.C. 437g(a)(12)(A) apply. This section prohibits making public any investigation conducted by the Federal Election Commission without the express written consent of the person under investigation.

JAN-24-2011 18:04 96% P.04



January 26, 2011

Charles R. Spies Clark Hill, PLC 1250 Eye Street NW Washington, DC 20005

C00471516 AF# 2247

Dear Mr. Spies:

On January 25, 2011, the Commission received your written response ("challenge") for Sias for Congress and Cory Dickson, in his official capacity as Treasurer, which is being reviewed by the Office of Administrative Review. If you have any questions regarding your challenge, please contact this Office on our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

Sincerely,

Dayna C. Brown Reviewing Officer

Office of Administrative Review

Date: January 25, 2011

REFERRAL TO OFFICE OF ADMINISTRATIVE REVIEW CHALLENGE RECEIVED

AF#: 2247

Committee Name: Sias for Congress

Committee ID#: C00471516

Committee Address (if different than in RTB letter): N/A

Treasurer Name (if different than in RTB finding): N/A

Attachments:

Copy of RTB Circulation Report, dated December 10, 2010 and RTB

Certification, dated December 15, 2010 (Y/N): N

Attachment #: N/A

Proof of Delivery (to be forwarded at later data if not yet received) (Y/N): Y

Attachment #: 1

Telecoms and Visitcoms in Response to RTB Letter (Y/N): N

Attachment #: N/A

Other Relevant Telecoms (Y/N): N

Attachment #: N/A

Original Correspondence Received by RAD in Response to RTB Letter (Y/N): N

Attachment #: N/A

RAD Staff Declaration (Y/N): Y

-2010 October Quarterly Report Prior Notice, dated September 21, 2010.

-Non-File: Notice, dated November 4, 2010.

-RTB Letter, dated December 21, 2010.

Attachment #: 2

Other RAD Information: (Y/N): N

Attachment#: N/A



Delivery Notification

Dear Customer,

This notice serves as proof of delivery for the shipment listed below.

Tracking Number:

1Z WF5 860 A2 9504 413 3

Reference Number(s): RAD, 294C83N5PQB

Service:

NEXT DAY AIR

Special Instructions:

ADULT SIGNATURE REQUIRED

Shipped/Billed On:

12/22/2010

Delivered On:

12/23/2010 10:07 A.M.

Delivered To:

POSTNET

15400 W 64TH AVE ARVADA, CO, US 80007

ARNOLD

Signed By: UPS UPS UPS

Location:

FRONT DESK

Thank you for giving us this opportunity to serve you.

Sincerely, **UPS**

Tracking results provided by UPS: 12/28/2010 11:46 A.M. ET

DECLARATION OF JODI WINSHIP

- I am the Acting Chief of the Compliance Branch for the Reports Analysis Division of the Federal Election Commission ("Commission"). In my capacity as Acting Chief of the Compliance Branch, I oversee the initial processing of the Administrative Fine Program. I make this declaration based on my personal knowledge and, if called upon as a witness, could and would testify competently to the following matters.
- 2. I hereby certify that documents identified herein are true and accurate copies of the following sent by the Commission to Sias for Congress:
 - A) Prior Notice, dated September 21, 2010, referencing the 2010 October Quarterly Report (sent via electronic mail to: CAMPAIGN@LANG.SIAS.COM);
 - B) Non-Filer Letter, dated November 4, 2010, referencing the 2010 October Quarterly Report;
 - C) Reason-to-Believe Letter, dated December 21, 2010, referencing the 2010 October Quarterly Report.

I hereby certify that I have searched the Commission's public records and find that Sias for Congress filed the 2010 October Quarterly Report with the Commission on January 23, 2011.

3. Pursuant to 28 U.S.C. 1746, I declare under panalty of perjury that the foregoing is true and correct and that all relevant telecorns for the neatter have been provided. This declaration was executed at Washington, D.C. on the 25th day of January, 2011.

Jodi Winship

Acting Chief, Compliance Branch

Reports Analysis Division

Federal Election Commission



FEDERAL ELECTION COMMISSION

CONGRESSIONAL COMMITTEES PARTIES AND PACS

September 21, 2010

CURRENT REPORT DUE

October Quarterly	BOOKS 4	MANUNG DE ADUNE 10/15/10	DEADLINE 10/15/10
	CILOSII OII	"TE CER S	PHILIP C

REPORTING SCHEDULE FOR REMAINDER OF 2010

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[\$\p\$\K\0)\\$\7][*		mjavanejeha Lova Tardicatu ka viiturel	I DEFINACE
Pre-General ²	10/13/10	10/18/10	10/21/10
Post-General	11/22/10	12/02/10	12/02/10
Year-End	12/31/10	01/31/11	01/31/11

Supplemental Filing Information is available:

- Congressional Committees
- Parties and PACs

¹A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered up through the close of books for the first report due.

² Parties and PACs: required only if committee makes contributions or expenditures in connection with the general election during the reporting period.

Congressional Committees: campaign committees of a candidate who participates in the general election must file pre-and post-general election reports.

2010 SUPPLEMENTAL FILING INFORMATION CONGRESSIONAL COMMITTEES

PLEASE NOTE: The Commission provides reminders of upcoming filing dates as a courtesy to help committees comply with the filing deadlines set forth in the Act and Commission regulations. Committee treasurers must comply with all applicable filing deadlines established by law, and the lack of prior notice does not constitute an excuse for failing to comply with any filing deadline.

WHO MUST FILE

Principal campaign committees of congressional candidates ¹ (including unopposed candidates and candidates whose names do not appear on the ballot) must file Quarterly Reports in 2010. ²

Campaigns that raise or spend more than \$5,000 for the 2010 election cycle (and thus trigger registration and reporting requirements) must file quarterly reports throughout 2010, even if the candidate plans to retire, withdraws from the race prior to the primary election, loses the primary or drops out of the race prior to the general election. See 11 CFR 104.5(a)(2).

Before a committee can stop filing with the FEC, it must file a termination report with the Commission. See 11 CFR 102.3. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

METHODS OF FILING REPORTS

Electronic Filing

Reports filed electronically must be received and validated by the Commission by 11:59 p.m. Eastern Time on the filing deadline. See 11 CFR 104.5(e).

- Web Page: Electronic Filing Page
- <u>Campaign Guide for Congressional Candidates and Committees (Candidate Guide)</u>, pp. 82-84 [PDF]

Paper Filing - Meeting the Filing Deadline

Paper Report filing options -- Registered, Certified or Overnight or First Class Mail. See 11 CFR 104.5(e).

- Web Page: Link to Paper Forms (for downloading and printing)
- Candidate Guide, p. 81 [PDF]

¹ Generally, an individual becomes a candidate for federal office (and thus triggers registration and reporting obligations) when his or her campaign exceeds \$5,000 in either contributions or expenditures. If the campaign has not crossed the \$5,000 threshold, it is not required to file reports. See 11 CFR 100.3(a).

² If a candidate has more than one authorized committee, the principal campaign committee must also file a consolidated report on Form 3Z [PDF].

PRE- AND POST-ELECTION REPORTS

A committee whose candidate participates in a 2010 state primary, nominating convention or runoff election — even if unopposed — must also file a pre-election report 12 days prior to the primary, nominating convention or runoff. The campaign committee of a candidate who participates in the general election must file pre- and post-general election reports.

See 11 CFR 104.5(a)(2).

- Web Page: 2010 Congressional Pre-Primary Reporting Dates
- The Record: January 2010 issue [PDF]
- Candidate Guide, pp. 79-80 [PDF]

48 HOUR NOTICES ON CONTRIBUTIONS

Notices are required if the committee receives any contribution (including in-kind gifts or advances of goods or services; locals from the candidate or other non-bank sources; and guarantees or endorsements of bank loans to the candidate or committee) of \$1,000 or more per source, during the period less than 20 days but more than 48 hours before any election in which the candidate is running.

The notices must reach the appropriate federal filing office within 48 hours of the committee's receipt of the contribution(s). Campaign committees that file electronically MUST submit their 48-hour notices electronically. See 11 CFR 104.5(f).

- Web Page: Electronic Filing Page
- Web Page: Link to Paper Forms (for downloading and printing)
- Form 6 Fax numbers
 - o Senate campaigns (Secretary of the Senate): (202) 224-1851
 - o House Campaigns (FEC): (202) 219-0174
- Campaign Guide: Candidate Guide, p. 80 [PDF]

COMPLIANCE

Treasurer Responsibility

Committee Treasurers are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time. See 11 CFR 104.14(d).

- Statement of Policy Regarding Treasurers Subject to Enforcement Proceedings [PDF]
- Candidate Guide, p. 7 [PDF]

Administrative Fine Program

Political committees and their treasurers who fail to file their reports on time may be subject to civil money penalties up to \$17,600 (or more for repeat late- and non-filers). ³ See 11 CFR 111.30.

- Web Page: Administrative Fine Program
- Candidate Guide, pp. 81-82 [PDF]

³ Penalties for late- or non-filing of 48-hour notices are based on the amount of contributions not timely disclosed. As a result, these penalties may exceed \$17,600, even for first-time violations.

DISCLOSURE OF LOBBYIST BUNDLING ACTIVITY

Campaign committees must file <u>FEC Form 3L</u> [PDF] if they receive two or more bundled contributions from lobbyists/registrants or lobbyist/registrant PACs that aggregate in excess of \$16,000 during the applicable reporting period (see page 1 of this notice). See 11 CFR 104.22 and 110.17(e).

• The Record: March 2009 issue [PDF]

2010 REPORTING SCHEDULE

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Web Page: <u>2010 Reporting Dates Page</u>
 The Record: <u>January 2010 issue</u> [PDF]

• Candidate Guide, p. 79 [PDF]

IMPORTANT FILING INFORMATION - PAPER FILERS

In response to the 2001 anthrax threat, the U.S. Postal Service is irradiating mail directed to many federal agencies, including the FEC and the Secretary of the Senate. ⁴ This process has not only delayed mail delivery, it has also damaged and in some cases destroyed pieces of mail. As a result, committees that file reports with the FEC may want to consider submitting their reports by some means other than U.S. mail. Alternative methods include electronic filing, overnight mail or delivery service, and hand delivery.

⁴ Senate committees should contact the Secretary of the Senate at (202) 224-0322 for more information on filing reports via US mail.

2010 SUPPLEMENTAL FILING INFORMATION PACS AND PARTY COMMITTEES

PLEASE NOTE: The Commission provides reminders of upcoming filing dates as a courtesy to help committees comply with the filing deadlines set forth in the Act and Commission regulations. Committee treasurers must comply with all applicable filing deadlines established by law, and the lack of prior notice does not constitute an excuse for failing to comply with any filing deadline.

WHO MUST FILE

5~-,

All Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file either quarterly or monthly reports in 2010. See 11 CFR 104.5(c). Before a committee can stop filing with the FEC, it must file a termination report with the Commission. See 11 CFR 102.3. Committees must continue to file reports until the Commission notifies them in writing that their termination report has been accepted.

METHODS OF FILING REPORTS

Electronic Filing

Reports filed electronically must be received and validated by the Commission by 11:59 p.m. Eastern Time on the filing deadline. See 11 CFR 104.5(e).

- Web Page: Electronic Filing Page
- Campaign Guide: <u>Nonconnected Committees (Nonconnected)</u>, <u>pp. 51-53</u> [PDF]; <u>Corporations and Labor Organizations (SSF)</u>, <u>pp. 49-51</u> [PDF]; <u>Political Party Committees</u> (<u>Party</u>), <u>pp. 69-71</u> [PDF].

Paper Filing — Meeting the Filing Deadline

Paper report filing options – Registered, Certified or Overnight or First Class Mail. See 11 CFR 104.5(e).

- Web Page: Link to Paper Forms (for downloading and printing)
- Campaign Guide: Nonconnected, pp. 47-48 [PDF]; SSF, pp. 45-46 [PDF]; Party, p. 65 [PDF].

PRE-ELECTION REPORTING

Quarterly filing committees that make contributions or expenditures (including independent expenditures) in connection with an election must also file a Pre-Election Report, if the activity was not previously reported. See 11 CFR 104.5(c)(1)(ii).

- Web Page: 2010 Congressional Pre-Primary Reporting Dates Page
- The Record: January 2010 issue [PDF]
- Campaign Guide: Nonconnected, pp. 49-51 [PDF]; SSF, pp. 46-48 [PDF]; Party, pp. 67-68 [PDF].

2010 REPORTING SCHEDULE

- Web Page: 2010 Reporting Dates Page
- The Record: January 2010 issue [PDF]
- Campaign Guide: Nonconnected, pp. 49-51 [PDF]; SSF, pp. 46-48 [PDF]; Party, pp. 67-68 [PDF].

COMPLIANCE

Treasurer Responsibility

Committee treasurers are responsible for both the timeliness and the accuracy of all reports. They may be subject to monetary penalties if reports are inaccurate or are not filed on time. See 11 CFR 104.14(d).

- Statement of Policy Regarding Treasurers Subject to Enforcement Proceeding [PDF]
- Campaign Guide: Nonconnected, pp. 3-4 [PDF]; SSF, pp. 1-2 [PDF]; Party, pp. 6-7 [PDF].

Administrative Fine Program

Failure to file reports on time may be subject to civil money penalties up to \$17,600 (or more for repeat late- or non-filers). See 11 CFR 111.30.

- Web Page: Administrative Fine Program Page
- Campaign Guide: Nonconnected, pp. 48-49 [PDF]; SSF, p. 46 [PDF]; Party, p. 66 [PDF].

DISCLOSURE OF LOBBYIST BUNDLING ACTIVITY

Party committees and Leadership PACs must simultaneously file FEC Form 3L if they receive two or more bundled contributions from lebbyists/registrants or labbyist/registrant PACs that aggregate in excess of \$16,000 during the covered period (see page 1 of this notice). See 11 CFR 104.22 and 110.17(e).

- The Record: March 2009 issue [PDF]
- Campaign Guide: Party, pp: 143-149 [PDF]

CHANGE IN FILING FREQUENCY

Committees able to change their reporting schedule (for example, from monthly to quarterly) who wish to do so must notify the Commission in writing and may change their filing frequency no more than once per calendar year. See 11 CFR 104.5(c).

- Web Page: Filing Frequency by Type of Committee
- Campaign Guide: Nonconnected, p. 51 [PDF]; SSF, p. 49 [PDF]; Party, p. 67 [PDF].

48- AND 24-HOUR REPORTS OF INDEPENDENT EXPENDITURES

Any PAC or Party Committee that makes independent expenditures in 2010 may have to disclose this activity within 48- or 24-hours based upon the date and amount of the expenditure. See 11 CFR 104.4.

- Web Page: 48- and 24-hour periods for indepondent expenditures for 2010 elections
- Campaign Guide: <u>Nonconnected, pp. 72-74</u> [PDF]; <u>SSF. pp. 65-67</u> [PDF];
 <u>Party, pp. 87-89</u> [PDF].

These reports are not required when a PAC or Party Committee makes a contribution directly to a candidate.



FEDERAL ELECTION COMMISSION WASHINGTON, D.G. 20463

RQ-7

CORY DICKSON, TREASURER
SIAS FOR CONGRESS
15431) WEST 64TH AVERUE SUBTE 9E BOX 133
AHVIUM, OO 80007

IDENTIFICATION NUMBER: C00471516

REFERENCE: OCTOBER QUARTERLY REPORT 7/22/2010 - 9/30/2010

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU MAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FFDERAL EDECTION CHEMISTIBH, 999 E STRUID, N.W., WASHINGTON, D.C. 20463 FUR HOUSE CANDIDATES, OR THE SECRETARY OF THE SENATE, 232 HART SENATE OFFICE BUILDING, WASHINGTON, D.C. 20510 (MAILING ADDRESS: OFFICE OF PUBLIC RECORDS, P.O. BOX 2517, ALEXANDRIA, VA 22301), FOR SENATE CANDIDATES. FLERSE NOTE THAT ELECTRONIC FILERO MUST SUBMIT THEIR REPORTS ELECTRONICALLY, AS PER 11 CKR \$104.18. A COPY OF THE REPORT MUST ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT FFATE OFFICER UNITES THE STATE IS EXEMPT FROM THE EMBERAL REQUIREMENT TO RECEIVE AND MAINTAIN PAPER COPIES. YOU CAN VERIFY THE COMMISSION'S RECEIPT OF ANY DOCUMENTS SUBMITTED BY YOUR COMMITTEE ON THE FEC WEBSITE AT WWW.FEC.GOV.

THE FAILURE TO TIMELY FILE THIS REPORT MAY RESULT IN CIVIL MONEY PENALTIES, AN AUDIT OR LEGAL ENFORCEMENT ACTION. THE CIVIL MONEY PENALTY CALCULATION FOR LATE REPORTS DOES NOT INCLUDE A GRACE PERIOD AND BEGINS ON THE DAY FOLLOWING THE DUE DATE FOR THE REPORT. DUE TO HEIGHTENED SECURITY SCREENING MEASURES, DELIVERY OF MAIL BY THE US POSTAL SERVICE MAY BE DELAYED. THE COMMISSION RECOMMENDS THAT YOU SUBMIT YOUR REPORT VIA OVERNIGHT DELIVEEY OR GOURIER SERVICE.

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER, PLEASE CONTACT SARI PICKERALL AT OUR TOLL FREE NUMBER (800) 424-9530. OUR DIRECT LOCAL NUMBER IS (202) 694-1130.

SINCERELY.

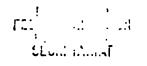
DUBLE Choten a.

DEBBIE CHACONA

AUSISTANT STAFF DIRECTOR

REPORTS ANALYSIS DIVISION (RAD)





201 HUR 15 P 1: 22

March 15, 2011

MEMORANDUM

SENSITIVE

To:

The Commission

Through:

Alec Palmer

Acting Staff Director

From:

Patricia Carmona 4

Chief Compliance Officer

Dayna C. Brown

Reviewing Officer

Office of Administrative Review

Subject:

Reviewing Officer Recommendation in AF# 2247 - Sias for Congress and

Cory Dickson, in his official capacity as Treasurer (C00471516)

The attached Reviewing Officer Recommendation is being circulated on an informational basis. A copy was also sent to the respondents in accordance with 11 C.F.R. § 111.36(f). The respondents may file with the Commission Secretary a written response within 10 days of transmittal of the recommendation.

After the 10 day period, the Reviewing Officer Recommendation and the respondents' written response, if any, will be circulated to the Commission to make a final determination.

Attachment



FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

March 15, 2011

REVIEWING OFFICER RECOMMENDATION OFFICE OF ADMINISTRATIVE REVIEW ("OAR")

AF# 2247 – Sias for Congress and Cory Dickson, in his official capacity as Treasurer (C00471516)

Summary of Recommendation

Make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess a \$3,850 civil money penalty.

Reason-to-Believe Background

On December 15, 2010, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for failing to file the 2010 October Quarterly Report and made a preliminary determination that the civil money penalty was \$3,850 based on the schedule of penalties at 11 C.F.R. § 111.43. They were notified by the Reports Analysis Division ("RAD") on December 21, 2010 of the Commission's RTB finding and civil money penalty.

Legal Requirements

The Federal Election Campaign Act ("Act") states that the treasurer of a principal campaign committee of a candidate shall file a report for the period ending September 30 no later than October 15. 2 U.S.C. § 434(a)(2)(A)(iii) and 11 C.F.R. § 104.5(a)(1)(i). Reports electronically filed must be received and validated at or before 11:59 pm Eastern Standard/Daylight Time on October 15 to be timely filed. 11 C.F.R. §§ 100.19(c) and 104.5(e). The treasurer shall be personally responsible for the timely filing of reports. 11 C.F.R. § 104.14(d).

Respondents' Challenge

On January 25, 2011, the Commission received the written response ("challenge") from Counsel on behalf of the respondents. Counsel concedes that the respondents failed to timely file the report, states that the respondents acted immediately to file the report once they realized it was required, and that they did not intentionally violate the Commission's regulations. Counsel is challenging the proposed civil money penalty based on the grounds of improper calculation and requests that the Commission recalculate the proposed civil money penalty and take into account the Committee's minimal cash on hand and outstanding debt.

Analysis

Counsel states that the respondents filed the report immediately once they realized it was required, however, the respondents were notified of the report's due date before and after the

filing deadline. On September 21, 2010, the Commission sent the Prior Notice for the October Quarterly Report to "Campaign@Lang.Sias.com", the email address listed on their Statement of Organization. On October 16, 2010, the day after the report was due, the Electronic Filing Office ("EFO") sent the 12-hour non-filer email notification to "mary@langsias.com", the email address provided by the Committee, alerting the respondents that they did not file the October Quarterly Report. On November 4, 2010, the Commission sent a non-filer notice to the respondents at their address of record. Further, in a phone conversation on December 21, 2010, the Committee's RAD Analyst reminded committee representative Mary Conway that the Committee had not filed its October Quarterly and encouraged her to do so as soon as possible. The Commission received the October Quarterly Report on January 23, 2011, 100 days late.

There are four eriteria used to calculate the amount of the civil money penalty. 11 C.F.R. § 111.43. They are: the election sensitivity of a report, the level of activity on the late report, the number of days late, and the number of prior violations. The October Quarterly Report is not an election sensitive report hecause the Candidate did not participate in the 2010 General election, and the respondents have no prior violations. They did not file the October Quarterly Report before the RTB finding, so an estimated level of activity (\$89,070) was used to calculate the fine. 11 C.F.R. § 111.43(a) and (d)(2)(i). For purposes of Administrative Fine penalty calculations, the estimated level of activity for an authorized committee is defined as total receipts and disbursements reported in the current two-year election cycle divided by the number of reports filed to date covering the activity in the current two-year election cycle. 11 C.F.R. § 111.43(d)(2)(i). At the time of the RTB finding, the respondents disclosed the following activity during the current two-year election cycle which resulted in an estimated level of activity of \$89,070:

· _	Total Receipts	Total Disbursements
2009 Year End	\$30,931.00	\$0.00
2010 April Quarterly	\$79,270.00	\$28,827.19
2010 July Quarterly	\$92,060.00	\$69,848.66
2010 12 Day Pre-Primary	\$20,912.00	\$34,430.76
	\$223,173.00	\$133,106.61

Total Receipts + Disbursements = \$356,279.61

Total Estimated Level of Activity: \$356,279.61/4 Reports = \$89,069.90

Using the schedule of penalties at 11 C.F.R § 111.43(a) and the level of activity bracket of \$75,000 - \$99,999.99, the civil money penalty is $$3,850 \times [1 + (.25 \times 0)]$ or \$3,850. Therefore, the fine was properly calculated at the time of the RTB finding.

The challenge also requests that the Commission take into consideration the Committee's lack of funds and outstanding debt, however this does not fall within the list of grounds for challenge enumerated at 11 C.F.R. § 111.35(b); (i) the RTB finding is based on factual errors; and/or (ii) the impreper calculation of the civil money penalty; and/or (iii) they used best efforts to file on time but were prevented from doing so by reasonably unforeseen circumstances that

were beyond their control and they filed the report no later than 24 hours after the end of these circumstances. Therefore, the Reviewing Officer recommends that the Commission make a final determination that the respondents violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$3,850.

OAR Recommendations

- (1) Adopt the Reviewing Officer recommendation for AF# 2247 involving Sias for Congress and Cory Dickson, in his official capacity as Treasurer, in making the final determination;
- (2) Make a final determination in AF# 2247 that Sias for Congress and Cory Dickson, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty of \$3,850; and
- (3) Send the appropriate letter.

Reviewing Officer: Dayna C. Bπrwn

Attachments

Attachment 1 - Challenge Received from Respondents

Attachment 2 -

Attachment 3 - Declaration from RAD

Attachment 4 – Declaration from OAR

4. . .

DECLARATION OF DAYNA C. BROWN

- 1. I am the Reviewing Officer in the Office of Administrative Review for the Federal Election Commission ("Commission"). In my capacity as Reviewing Officer, I conduct research with respect to all challenges submitted in accordance with the Administrative Fine program.
- 2. The 2010 October Quarterly Report is due October 15, 2010. If electronically filed, it must be received and validated by the Commission at or before 11:59 p.m., Eastern Standard/Daylight Time on October 15, 2010 to be timely filed.
- 3. I hereby certify that I have searched the Commission's public records and that the documents identified herein are the true and accurate copies of:
 - a) Page 1 of the Summary Page and Pages 3 and 4 of the Detailed Summary Page for the 2009 Ynar End Reppit electronically filed by Sias for Congress and Cory Dickson, in his official capacity as Treasurer. According to the Commission's records, the report covers the period from November 1 through December 31, 2009, and was received on February 1, 2010. Line 16, Column A lists \$30,931 in total receipts for this period. Line 22, Column A lists \$0 in total disbursements for this period;
 - b) Page 1 of the Summary Page and Pages 3 and 4 of the Detailed Summary Page for the 2010 April Quarterly Report electronically filed by Sias for Congress and Cory Dickson, in his official capacity as Treasurer. According to the Commission's records, the report covers the period from January 1, 2010 through March 31, 2010 and was received on April 15, 2010. Line 16, Column a lists \$79,270 in total receipts for this period. Line 22, Column A lists \$28,827.19 in total disbursements for this period;
 - c) Page 1 of the Summary Page and Pages 3 and 4 of the Detailed Summary Page for the 2010 July Quarterly Report electronically filed by Sias for Congress and Cory Dickson, in his official capacity as Treasurer. According to the Commission's records, the report covers the period from April 1, 2010 through June 30, 2010 and was received on July 15, 2010. Line 16, Column A lists \$92,060 in total receipts for this period. Line 22, Column A lists \$69,848.66 in total disbursements for this period;
 - d) Page 1 of the Summary Page and Pages 3 and 4 of the Detniled Summary Page for the 2010 12 Day Pre-Primary Report electronically filed by Sias for Congress and Cory Dickson, in his afficial capacity as Toeasurer. According to the Commission's records, the report covers the period from July 1, 2010 through July 21, 2010 and was received on July 28, 2010. Line 16, Column Alists \$20,912 in total receipts for this period. Line 22, Column A lists \$34,430.76 in total disbursements for this period.
- 4. Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed at Washington on the 15th of March 2011.

Dayna C. Brown Reviewing Officer

Office of Administrative Review Federal Election Commission

REPORT OF RECEIPTS AND DISBURSEMENTS

l	FORM 3		For A		ized Comm			Office Use Only				
1.	NAME OF COMMITTEE (in	full)		ALLING LA OR PRINT		mple:If typing, for the lines	уре	1				
L_8	Sias for Congress	5			_ <u></u>	- 	<u> </u>		<u> </u>		لبن	
ı												
Ą	DRESS (number a	und street)	15400 V	V 64th Ave	enue ,							
,	Check if diff	erent	Suite 9	<u> </u>				<u> </u>	i	:		
	than previous reported. (A	sly	Arvada					co	ـــــــــا	80007	6852	
2.	FEC IDENTIFICA	RTION NU	MBER 🔻		CITY A			STATE		ZIP CODE	: ▲ ▼ DISTRIC	
	C0047151	6		3.	IS THIS REPORT	x NEV (N)	OR	AME (A)	ENDED		[<u>07</u>]	
4.	TYPE OF REF	PORT (Choose One)	(р)	12-Day PRE	-Election Repo	et for the:					
	(a) Quarterly Re	eports:		`		-		0			# (40D)	
	April 1	5 Quarterly	Report (Q1)			Primary (12P	1		al (12G)	-	lunolf (12R)	
	July 15	Quarterly	Report (Q2)			Convention (12C)	Брес іа.	i (12S)			
	Octobe	r 15 Quart	terly Report (Q	13)	Election on			•		in the State of		
	X Januar	y 31 Year-	End Report (Y	(c)	30-Day POS	T-Election Rep	ort for the:					
						General (300	3)	Runoff	(30R)	s	ipecial (30S)	
	Termin	ation Repo	ort (TER)		Election on					in the State of		
5.	Covering Period	1	1 0	1 2	2009	through :	1 2	2 31		2009		
l c	ertify that I have ex	amined this	s Report and to	o the best of	my knowledge	e and belief it is	true, corre	ect and complet	e.			
Ту	pe or Print Name o	Treasure	r <u>Co</u>	ory Dickson	<u>n</u>	···.		·		<u> </u>		
Si	gnature of Treasure	er Electi	ronically Filed	by Cory	Dickson			Date 0 2	2	0 1	2010	
N	OTE : Submission (of false, en	romeous, or inc	complete info	ormation may s	subject the pers	en signing	this Report to 1	the penal	ities of 2 U.S.C	C 437g.	
	Office Use								ſ	FEC FORI		

DETAILED SUMMARY PAGE

of Receipts 3/17 FEC Form 3 (Revised 12/2003) Write or Type Committee Name Sias for Congress 2009 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. RECEIPTS **Total This Period Election Cycle-to-Date** 11. CONTRIBUTIONS (other than loans) FROM: (a) Individuals/Persons Other Than **Political Committees** 22700.00 22700.00 (i) Itemized (use Schedule A)..... 3231.00 3231.00 25931.00 25931.00 from individuals..... 0.00 0.00 (b) Political Party Committees..... (c) Other Political Committees 5000.00 5000.00 (such as PACS)..... 0.00 0.00 (d) The Candidate..... (e) TOTAL CONTRIBUTIONS (other than loans) 30931.00 30931.00 (add Lines 11(a)(iii), (b), (c), and (d)) 12. TRANSFERS FROM OTHER 0.00 0.00 AUTHORIZED COMMITTEES..... 13. LOANS (a) Made or Guaranteed by the 0.00 0.00 Candidate..... 0.00 0.00 (b) All Other Loans..... (c) TOTAL LOANS 0.00 0.00 (add Lines 13(a) and (b))..... 14. OFFSETS TO OPERATING **EXPENDITURES** 0.00 0.00 (Refunds, Rebates, etc.)..... 15. OTHER RECEIPTS 0.00 0.00 (Dividends, Interest, etc.)..... 16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)...... 30931.00 30931.00

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FEC Form 3 (Revised 02/2003)

	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date.
17.	OPERATING EXPENDITURES	0.00	0.00
18.	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	0.00	0.00
 19.	LOAN REPAYMENTS:		
	(a) Of Loans Made or Guaranteed by the Candidate	0.00	0.00
	(b) Of all Other Loans	0.00	0.0
	(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))	0.00	0.00
0.	REFUNDS OF CONTRIBUTIONS TO:		
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00
	(h) Political Park, Committees	0.00	0.0
	(b) Political Party Committees	0.00	0.00
	(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))	0.00	0.0
<u>.</u> !1.	OTHER DISBURSEMENTS	0.00	0.0
22.	TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21)	0.00	0.0
	III. CASH SUMM	ARY	
23.	CASH ON HAND AT BEGINNING OF REPORTING	3 PERIOD	0.0
24.	TOTAL RECEIPTS THIS PERIOD (from Line 16, pa	ige3)	30931.0
25.	SUBTOTAL (add Line 23 and Line 24)		30931.0
26.	TOTAL DISBURSEMENTS THIS PERIOD (from Lir	ne 22)	0.0
27.	CASH ON HAND AT CLOSE OF REPORTING PER		30931.0

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee

Office	I lea	Only

								Office	Use Only	
1. NAME OF COMMITTEE (in full)	USE FEC MAIL OR TYPE OR I			imple:If ty er the line	ping, typ	De				
Sias for Congress				1		<u> </u>	<u> </u>		<u></u>	
		i								1
DDRESS (number and street)	15400 W 6	4th Av								
Check if different	Suite 9E						•			1
than previously reported. (ACC)	Arvada	<u>.</u> ,					СО		80007	6852
. FEC IDENTIFICATION NUM	BER 🔻	_	CITY 🛦			-	STATE		ZIP COD	DE 📣 E 🕊 DISTRIC
C00471516		3.	IS THIS REPORT	x	NEW (N)	OR	AME! (A)	NDED	[CO	[07]
. TYPE OF REPORT (Cl	hoose One)	(b)	12-Day PPIE	-Election	Report	for the:	T-1			
X April 15 Quarterly F	lanest (O1)			Primar	y (12P)		General	(12G)		Runoff (12R)
,				Conve	ntion (12	(C)	Special	(12S)		
July 15 Quarterly R									in the	
October 15 Quarter	ly Report (Q3)		Election on						State o	k
January 31 Year-Er	nd Report (YE)	(c)	30-Day POS	ST-Electic	n Repor	t for the:				
				Genera	al (30G)		Runoff (30R)		Special (30S)
Termingtion Report	(TER)		Election on						in the State o	ic
. Covering Period 0 1	0 1		2010	thro	ough	03	3 1		2010	
certify that I have examined this I	Report and to the		-	e and bel	el it is tr	ue, corre	ct and complete).	<u> </u>	
Type or Print Name of Treasurer		210100	<u></u>							
Signature of Treasurer Electron	nically Filed by	Cory	Dickson				Date 0 4		1 5	2010
NOTE : Submission of false, error	necus, or incomp	olete info	ormation may s	subject th	e persor	n signing	this Report to th	re penelt	ies of 2 U.S	.C 437g.
Office Use Only									EC FOR (Revised 02/	
E5AN018										

DETAILED SUMMARY PAGE

		FEC Form 3 (Revised 1)	2/2003)		of F	Receipts 					3 / 43
		or Type Committee Name or Congress									
R	eport	Covering the Period:	From:	м м О 1	D D 0 1	Y Y 2 Y 1 Y 2 O 1 O	To:	м м 0 З	^D 31	Y	2010
		I. RECEIPTS			т	COLUMN A otal This Period		Electi	COLUMN ion Cycle-		•
1.	CO	NTRIBUTIONS (other than	loans) FR(DM:	-	-					-
	(a)	Individuals/Persons Other Political Committees (i) Itemized (use Schedul		····		70425.00				704	25.00
		(ii) Uniternized(iii) TOTAL of contribution				8845.00 79270.00					76.00 01.00
	(%)	from individuals				0.00				.020	0.00
	(C)	Political Party Committees Other Political Committees (such as PACS)				0.00				50	00.00
	(d) (e)	The Candidate TOTAL CONTRIBUTIONS				0.00					0.00
		(other than loans) (add Lines 11(a)(iii), (b), (c), and (d))			79270.00				1078	01.00
2.		ANSFERS FROM OTHER THORIZED COMMITTEES				0.00					0.00
3.	LOANS										
	(a)	Made or Guaranteed by the Candidate		•	0.00				0.00		
	(b) All Other Loans				0.00				0.00		
	(c)	(c) TOTAL LOANS (add Lines 13(a) and (b))				0.00					0.00
4.	OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)				0.00			0.00			
5.		HER RECEIPTS vidends, Interest, etc.)				0.00					0.00
6.	110	TAL RECEIPTS (add Lines e), 12, 13(c), 14, and 15) rry Total to Line 24, page 4)		<u> </u>		79270.00				1078	301.00

FEC Form 3 (Revised 02/2003)

	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17.	OPERATING EXPENDITURES	26427.19	27621.05
18.	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	0.00	0.00
19.	LOAN REPAYMENTS:		
	(a) Of Loans Made or Guaranteed by the Candidate	0.00	0.00
	(b) Of all Other Loans	0.00	0.00
	(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))	0.00	0.00
20.	REFUNDS OF CONTRIBUTIONS TO:		
	(a) Individuals/Persons Other Than Political Committees	2400.00	2400.00
		0.00	0.00
	(b) Political Party Committees(c) Other Political Committees		
	(such as PACs)	0.00	0.00
	(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))	2400.00	2400.00
21.	OTHER DISBURSEMENTS	0.00	0.00
22.	TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21)	28827.19	30021.09
	III. CASH SUMM	IARY	
23.	CASH ON HAND AT BEGINNING OF REPORTING		27337.10
24.	TOTAL RECEIPTS THIS PERIOD (from Line 16, p.	age3)	79270.0
25.	SUBTOTAL (add Line 23 and Line 24)		106607.1
26.	TOTAL DISBURSEMENTS THIS PERIOD (from Li	ine 22)	28827.1
27.	CASH ON HAND AT CLOSE OF REPORTING PE		77779.9

REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3			zed Commi	ittee	3			O#:	ce Use Only	
1. NAME OF COMMITTEE (in full)	USE FEC MAIL OR TYPE OR P			mple:If typer the lines		l			ce use Only	
Sias for Congress										
		!						·····	·	
ADDRESS (number and street)	15400 W 64	th Ave	enue			<u>-!</u> ~.	. <u> </u>		<u> </u>	<u></u>
Check if dilferent	Suite 9E		: <u>.</u>						<u> </u>	
than previously reported. (ACC)	Arvada				1 :	<u>.</u>	[cb]	L	80007	6852
2. FEC IDENTIFICATION NUM	MBER 💥	_	CITY A				STATE		ZIP CO	
C00471516		3.	IS THIS REPORT	x	NEW (N)	OR	Al (A	MENDED		E ♥ DISTRICT
4. TYPE OF REPORT (C	Chaose One)	1								
(a) Quarterly Reports:		(b)	12-Day PRE	I-Election	Report	ior the:				
April 15 Quarterly	Report (Q1)			Primary	, ,			eral (12G	•	Runoff (12R)
X July 15 Quartefly F	Report (Q2)			Conven	tion (12	C)	Spe	cial (12S)	•	
October 15 Quarte	erly Report (C3)		Election on						in the State	
January 31 Year-E	End Report (YE)	(c)	30-Day POS	ST-Election	n Repor	t for the:				
				Genera	(30G)		Run	aff (30R)		Special (30S)
Termination Repo	n (TER)		Election on						in the State	
5. Covering Period 0 4	4 01		2010	thro	ugh	0 6	3	0	2010	
I certify that I have examined this	Report and to the	best of	my knowledge	e and beli	ef it is tr	ue, correc	ct and comp	olete.		
Type or Print Name of Treasurer	Cory I	Dickso	<u>n</u>							
Organization Treasurer	onically Filed by		Dickson				Dale	07	1 5	2010
NOTE : Submission of false, em	dneous, or incomy	olete info	ormation may :	subject the	persor	signing	this Report	to the pe	natties of 2 U.	S.C 437g.
Office Use Only									FEC FO (Revised 0)	

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3 (Revised 12/2003) 3/58 Write or Type Committee Name Sias for Congress 30 2010 Report Covering the Period: From: 06 To: **COLUMN A COLUMN B** I. RECEIPTS **Total This Period** Election Cycle-to-Date 11. CONTRIBUTIONS (other than loans) FROM: (a) Individuals/Persons Other Than **Political Committees** 75850.00 75850.00 (i) Itemized (use Schedule A)..... 11210.00 114186.00 (ii) Uniternized..... (iii) TOTAL of contributions 87060.00 190036.00 from individuals..... 0.00 0.00 (b) Political Party Committees..... Other Political Committees 5000.00 10000.00 (such as PACS)..... 0.00 0.00 (d) The Candidate..... (e) TOTAL CONTRIBUTIONS (other than loans) 92060.00 200036.00 (add Lines 11(a)(iii), (b), (c), and (d)) 12. TRANSFERS FROM OTHER 0.00 0.00 AUTHORIZED COMMITTEES..... 13. LOANS (a) Made or Guaranteed by the 0.00 0.00 Candidate..... 0.00 0.00 (b) All Other Loans..... (c) TOTAL LOANS 0.00 0.00 (add Lines 13(a) and (b))..... 14. OFFSETS TO OPERATING **EXPENDITURES** 0.00 0.00 (Refunds, Rebates, etc.).... 15. OTHER RECEIPTS 0.00 0.00 (Dividends, Interest, etc.)..... 16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Cæry Total to Line 24, page 4)........... 200036.00 92060.00

FE5AN018

of Disbursements FEC Form 3 (Revised 02/2003)			4 / 58
	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
ı 7 .	OPERATING EXPENDITURES	55248.66	82869.71
18.	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	0.00	0.00
19.	LOAN REPAYMENTS:		
	(a) OI Loans Made or Guaranteed by the Candidate	0.00	0.00
	(b) Of all Other Loans	0.00	0.00
	(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))	0.00	0.00
20.	REFUNDS OF CONTRIBUTIONS TO: (a) Individuals/Persons Other Than Political Committees	14600.00	14600.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	(d) TOTAL CONTRIBUTION FIEFUNDS (add Lines 20(a), (b), and (c))	14600.00	14600.00
21.	OTHER DISBURSEMENTS	0.00	0.00
22.	TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21)	97469.71	
	III. CASH SU	MMARY	
23.	CASH ON HAND AT BEGINNING OF REPOR	TING PERIOD	80354.99
24.	TOTAL RECEIPTS THIS PERIOD (from Line 1	6, page3)	92060.00
25.	SUBTOTAL (add Line 23 and Line 24)		172414.9
26.	TOTAL DISBURSEMENTS THIS PERIOD (from	m Line 22)	69848.6
27.	CASH ON HAND AT CLOSE OF REPORTING		102566.2

REPORT OF RECEIPTS AND DISBURSEMENTS

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Runoff (12R)
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Special (30S
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2010
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M 3 2003)

DETAILED SUMMARY PAGE

of Receipts

3/16 FEC Form 3 (Revised 12/2003) Write or Type Committee Name Sias for Congress M M 01 2010 2010 Report Covering the Period: From: **COLUMN B COLUMN A** I. RECEIPTS **Total This Period Election Cycle-to-Date** 11. CONTRIBUTIONS (other than loans) FROM: (a) Individuals/Persons Other Than **Political Committees** 19903.00 0.00 (i) Itemized (use Schedule A)..... 1009.00 0.00 (ii) Uniternized...... 20912.00 211148.00 from individuals..... 0.00 0.00 (b) Political Party Committees..... (c) Other Political Committees 0.00 10000.00 (such as PACS)..... 0.00 0.00 (d) The Candidate..... (e) TOTAL CONTRIBUTIONS (other than loans) 20912.00 221148.00 (add Lines 11(a)(iii), (b), (c), and (d)) 12. TRANSFERS FROM OTHER 0.00 0.00 AUTHORIZED COMMITTEES..... 13. LOANS (a) Made or Guaranteed by the 0.00 0.00 Candidate..... 0.00 0.00 (b) All Other Loans..... (c) TOTAL LOANS 0.00 0.00 (add Lines 13(a) and (b))..... 14. OFFSETS TO OPERATING **EXPENDITURES** 0.00 0.00 (Refunds, Rebates, etc.)..... 15. OTHER RECEIPTS 0.00 0.00 (Dividends, Interest, etc.)..... 16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)...... 221148.00 20912.00

11092654782

	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17.	OPERATING EXPENDITURES	34430.76	117345.45
18.	TRANSFERS TO OTHER AUTHORIZED COMMITTEES	0.00	0.00
19.	LOAN REPAYMENTS:		
	(a) Of Loans Made or Guaranteed by the Candidate	0.00	0.00
	(b) Of all Other Loans	0.00	0.00
	(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))	0.00	0.00
20.	REFUNDS OF CONTRIBUTIONS TO:		
	(a) Individuals/Persons Other Than Political Committees	0.00	14600.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))	0.00	14600.00
21.	OTHER DISBURSEMENTS	0.00	0.00
22.	TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21)	34430.76	131945.4
	III. CASH SUMMA	ARY	
23.	CASH ON HAND AT BEGINNING OF REPORTING	PERIOD	102721.3
24.	TOTAL RECEIPTS THIS PERIOD (from Line 16, page	ge3)	20912.00
25.	SUBTOTAL (add Line 23 and Line 24)		123633.3
26.	TOTAL DISBURSEMENTS THIS PERIOD (from Lin	ne 22)	34430.7
27.	CASH ON HAND AT CLOSE OF REPORTING PEF		89202.5



VIA OVERNIGHT DELIVERY

March 16, 2011

Charles R. Spies Clark Hill PLC 1250 Eye Street NW, Suite 900 Washington, DC 20005

C00471516 AF# 2247

Dear Mr. Spies:

On December 15, 2010, the Federal Election Commission ("Commission") found reason to believe ("RTB") that Sias for Congress and Cory Dickson, in his official capacity as Treasurer ("respondents"), violated 2 U.S.C. § 434(a) for failing to file the 2010 October Quarterly Report. The Commission also made a preliminary determination that the civil money penalty was \$3,850 based on the schedule of penalties at 11 C.F.R. § 111.43.

After reviewing the written response and any supplemental information submitted by you and Commission staff, the Reviewing Officer has recommended that the Commission make a final determination in this matter. A copy of the Reviewing Officer's recommendation is attached.

You may file with the Commission Secretary a written response to the recommendation within 10 days of the date of this letter. Your written response should be sent to the Commission Secretary, 999 E Street, NW, Washington, DC 20463. As a result of the anthrax threat in the Washington, DC area, US Postal Service mail delivery to federal agencies, including the Commission, has been interrupted. Until regular mail delivery resumes, you may also file your written response with the Commission Secretary via facsimile (202-208-3333) or by courier at the same address (if you use an overnight delivery service, please use zip code 20004 instead of zip code 20463). Please include the AF # in your response. Your response may not raise eny arguments not raised in your original written response or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). The Commission will then make a final determination in this matter.

Please contact me at the toil free number 800-424-9530 (press 0, then press 1660) or 202-694-1660 if you have any questions.

Sincerely,

Dayna C. Brown Reviewing Officer

Office of Administrative Review



FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

CONTISSION SECRETARIAT

2011 MAR 31 P 3: 23

SENSITIVE

March 31, 2011

MEMORANDUM

To:

The Commission

Through:

Alec Palmer

Acting Staff Director

From:

Patricia Carmona PU
Chief Compliance Officer

Dayna C. Brown Reviewing Officer

Office of Administrative Review

Subject:

Final Determination Recommendation in AF# 2247 - Sias for Congress and Cory

Dickson, in his official capacity as Treasurer (C00471516)

On December 15, 2010, the Commission found reason to believe ("RTB") that the respondents violated 2 U.S.C. § 434(a) for failing to file the 2010 October Quarterly Report and also made a preliminary determination that the civil money penalty was \$3,850 based on the schedule of penalties at 11 C.F.R. § 111.43.

On January 25, 2011, the Commission received their written response ("challenge") from Counsel. After reviewing the challenge, the Reviewing Officer's recommendation dated March 15, 2011 was forwarded to the Commission, a copy was forwarded to the respondents, and is hereby incorporated by reference. The Reviewing Officer recommended that the Commission make a final determination that they violated 2 U.S.C. § 434(a) and assess a \$3,850 civil money penalty because they submitted no evidence that n factual error was made in the RTB finding, that the penalty was miscalculated at RTB on that they used best efforts to file on time. 11 C.F.R. § 111.35(b).

Within 10 days of transmittal of the recommendation, they may file a written response with the Commission Secretary which may not raise any arguments not raised in their challenge or not directly responsive to the Reviewing Officer's recommendation. 11 C.F.R. § 111.36(f). As of this date, a written response has not been received.

OAR Recommendations

(1) Adopt the Reviewing Officer recommendation for AF# 2247 involving Sias for Congress and Cory Dickson, in his official capacity as Treasurer, in making the final determination;

- (2) Make a final determination in AF# 2247 that Sias for Congress and Cory Dickson, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and, assess a civil money penalty of \$3,850; and
- (3) Send the appropriate letter.

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)	
·)	
Final Determination Recommendation –)	AF 2247
Sias for Congress and Cory Dickson, in)	
his official capacity as Treasurer)	

CERTIFICATION

I, Shelley E. Garr, Deputy Secretary of the Federal Election Commission, do hereby certify that on April 14, 2011, the Commission decided by a vote of 6-0 to take the following actions in AF 2247:

- 1. Adopt the Reviewing Officer recommendation for AF 2247 involving Sias for Congress and Cory Dickson, in his official capacity as Treasurer, in making the final determination.
- 2. Make a final determination in AF 2247 that Sias for Congress and Cory Dickson, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and, assess a civil money penalty of \$3,850.
- 3. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

Shelley E. Garr

Deputy Secretary of the Commission



April 19, 2011

VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED

Charles R. Spies Clark Hill PLC 1250 Eye Street NW, Suite 900 Washington, DC 20005

C00471516 AF# 2247

Dear Mr. Spies:

On December 15, 2010, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Sias for Congress and Cory Dickson, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) for failing to file the 2010 October Quarterly Report. By letter dated December 21, 2010, the Commission sent your clients notification of the RTB finding that included a civil money penalty calculated at RTB of \$3,850 in accordance with the schedule of penalties at 11 C.F.R. § 111.43. On January 25, 2011, the Office of Administrative Review received your written response challenging the RTB civil money penalty.

The Reviewing Officer reviewed the Commission's RTB finding with its supporting documentation and the written response. Based on this review, the Reviewing Officer recommended that the Commission make a final determination that Sias for Congress and Cory Dickson, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty in the amount of \$3,850 in accordance with 11 C.F.R. § 111.43. The basis for the Reviewing Officer's recommendation was included in the Final Determination Report, a copy of which was sent to you on March 16, 2011.

On April 14, 2011, the Commission adopted the Reviewing Officer's recommendation and made a final determination that Sias for Congress and Cory Dickson, in his official capacity as Treasurer, violated 2 U.S.C. § 434(a) and assessed a civil money penalty of \$3,850. A copy of the final determination recommendation is attached.

If You Choose to Appeal the Final Determination and/or Civil Money Penalty

If you choose to appeal the final determination, you should submit a written petition, within 30 days of receipt of this letter, to the district court of the United States for the district in which the committee or treasurer reside, or transact business, requesting that the final determination be modified or set uside. See 2 U.S.C. § 437g(a)(4)(C)(iii). Your failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver of

the respondents' right to present such argument in a petition to the district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If You Choose Not to Pay the Civil Money Penalty and Not to Appeal

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA") as amended by the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701 et seq. If you do not pay this debt within 30 days (or file a written petition to a federal district court - see below), the Commission will transfer the debt to the U.S. Department of the Treasury ("Treasury") for collection. Within 5 days of the transfer to Treasury, Treasury will contact the debtor and request payment. Treasury currently charges a fee of 28% of the civil money penalty amount for its collection services. The fee will be added to the amount of the civil money penalty that you owe. Should Treasury's attempts fail, Treasury will refer the debt to a private collection agency ("PCA"). If the debt remains unpaid, Treasury may recommend that the Commission refer the matter to the Department of Justice for litigation.

If You Choose To Pay the Civil Money Penalty

If you should decide to pay the civil money penalty, send the enclosed form and payment to the address on page 3 within 30 days of receipt of this letter.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within 30 days from the date of the Commission's notification, this could occur at any time following certification of the Commission's vote.

If you have any questions regarding the payment of the civil money penalty, please contact Dayna Brown on our toll free number (800)424-9530 (press 0, then ext. 1660) or (202)694-1660.

On behalf of the Commission,

Cynthia L. Bauerly

Chair

Attachment

ADMINISTRATIVE FINE PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 C.F.R. § 111.44, the civil money penalty is \$3,850 for the 2010 October Quarterly Report.

This penalty should be paid by check or money order, made payable to the Federal Election Commission. It should be sent by nmil to:

Federal Election Commission PO Box 979058 St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC # 979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The form and payment are due within 30 days of receipt of this letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTs). Your account will be electronically debited for the amount on the check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Sias for Congress

FEC ID#: C00471516

AF#: 2247

PAYMENT AMOUNT DUE: \$3,850

FOR: Sias for Congress

FEC ID#: C00471516

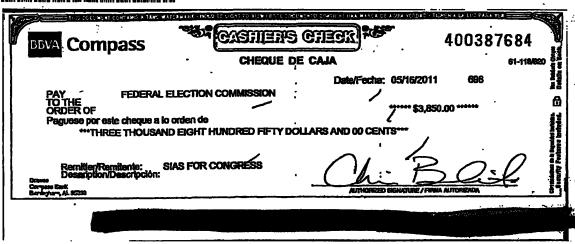
AF#: 2247

PAYMENT AMOUNT DUE: \$3,850

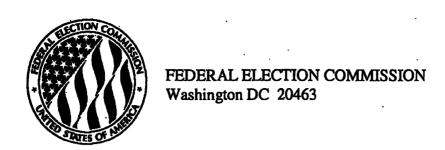
CITTAK ENCLOSED

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058 SEQ# 001 \$ 0000385000 BA# 2 05-19-11 20 4





(Spancorp.



THIS IS THE END OF ADMINISTRATIVE FINE CASE # 22 47

DATE SCANNED 7-5

SCANNER NO. 2

SCAN OPERATOR _______